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Human Dimension Challenges to the Maintenance of Local Area Awareness Using a 360 Degree Indirect Vision System

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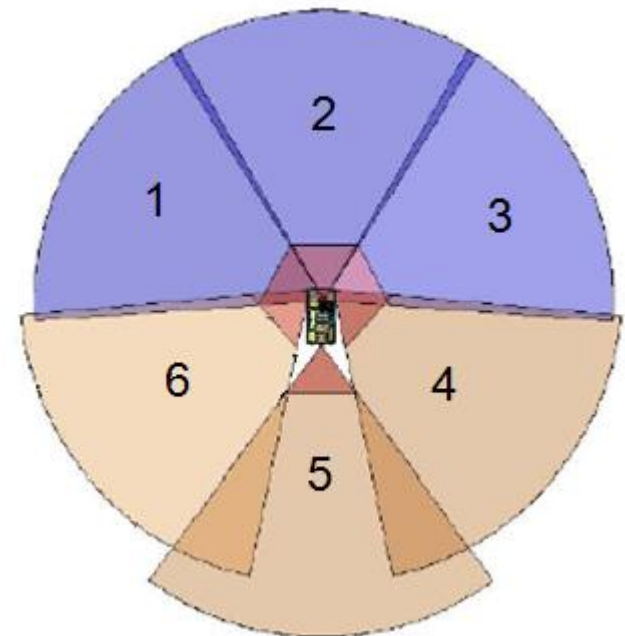
Presentation Outline

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- **Introduction**
 - Urbanization of Conflict and Situational Awareness
 - How the Army is Responding
 - Human Factors Challenges to 360° Local Area Awareness
- **Method**
 - Experiment overview
 - Experimental Scenario Composition
 - Display Concepts and Configurations
 - Simulation Environment
 - Data Reduction and Analysis
- **Results**
 - Active Scanning
 - Threat Detection Performance
 - Response Time vs. Report Accuracy
 - Subjective Impressions
- **Discussion & Conclusions**





Photos from: <http://search.ahp.us.army.mil/search/images/>

- **Urbanization of Conflict and Situational Awareness**
 - Modern conflicts are increasingly being carried out on an urban stage
 - This urbanization imposes important changes in battlefield constraints:
 - Due to the presence of many people including combatants and noncombatants
 - Due to exponential additions to complexity imposed by a dense, diverse, and irregular array of multidimensional structures
 - These and other factors dramatically increase the cost of failing to maintain Situational Awareness (SA) on the battlefield



• How the Army is Responding

- Increasingly conducting operations from within “buttoned-up” (closed-hatch) vehicles
- Maturing and developing advanced indirect-vision systems for enhancing SA including
 - real-time, full-spectrum (360°/90°) visualization options
 - computational enhancements to data visualization

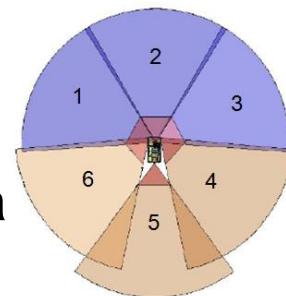
• Human Factors Challenges to 360° Local Area Awareness (LAA)

- Humans are limited in visual processing in terms of:
 - the distribution of objects throughout space
 - the number of items that can be held in working memory
 - the temporal dynamics for processing new information
- Cognitive biases further impact the ability to form SA:
 - “cognitive tunneling”
 - “out of the loop syndrome”

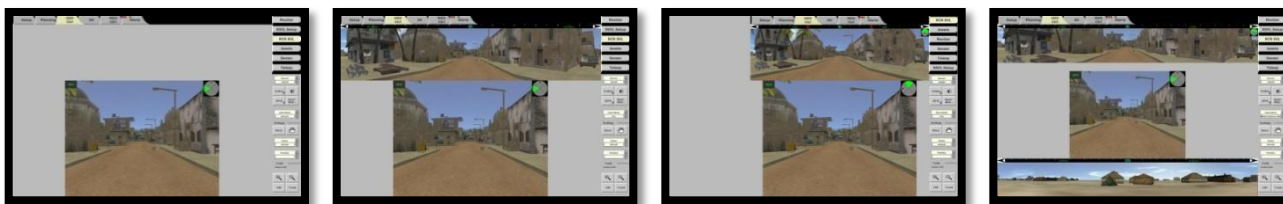


- **Experiment Overview: Objectives**

Our experiment examined the factors that can influence performance of a threat detection and identification task using a simulated system of sensors providing 360° LAA.



- Four display configurations, representing variations of two 360° Indirect-Vision Display (IVD) concepts were assessed as U.S. Soldiers and civilians performed threat detection using a display representing the view from within a simulated moving vehicle during execution of a presence patrol.



- Beyond examination of display configurations, the dynamics and composition of the simulated environment were structured to allow for statistical investigation of human perceptual-cognitive issues related to threat detection performance.



- Experiment Overview: Design
 - N = 17 (7 Soldiers, 10 civilians)
 - Major Manipulation
 - One explicit independent variable, **display configuration**, with four levels.
 - Each configuration was assessed within one of four unique operational scenarios (counter-balanced)
 - Within-scenario manipulations (covariates):
 - Threat Type (Armed Human, Unarmed Human, IED)
 - Threat Range
 - Threat Location
 - Threat Mobility
 - Vehicle Mobility
 - Threat Reporting Criteria (for unarmed humans) based on environment
 - Inter-Threat Interval





• Experiment Overview: Tasks

Assume role of MGV Commander conducting a presence patrol in an urban environment.

- Identify threats while stationary and on-the-move
 - Sector of observation: entire 360° around vehicle
 - Range of observation: extends 200 meters from vehicle
- Send digital “SPOT” report – custom report created for data collection purposes
 - Activate SPOT/BDA report upon detection
 - Select Threat Location (Vehicle-relative clock position 1-12)
 - Select Threat Type
- Listen for audio alerts regarding the following events (no acknowledgement was required):
 - Vehicle Halt / Resume
 - Entering / Leaving “free fire zone”
 - High value targets





- **Scenario Composition**

- Design & implementation guidance
 - SME Input
 - Independent research
- 38 Threats per scenario
 - Scripted threats were intermingled with planted decoys
 - Threats included: 17 Armed Humans, 13 Unarmed Humans, and 8 IED's
- Mission Context Manipulation
 - City vs. Outskirts
 - Outskirts = "free-fire zone" and thus all humans, armed or unarmed, were report-worthy
 - 19 targets in each environment

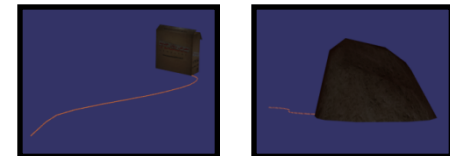
Unarmed
humans in
native
dress



Armed
humans in
native
dress



IED's
(indicated
by fuse)



Decoy
IED's



Method

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| Label | Description | # per Scenario |
|-------------------------|---|----------------|
| Crowd | Group of 10+ non-threatening humans | 1 |
| Hidden IED | Various objects with large wires (fuses), included in both urban core and outskirts | 8 |
| Decoy IED | Various objects without apparent fuses | ~50 |
| High Value Target (HVT) | Targets that were not threatening until radio communiqué warning of danger | 3 |
| Vehicle Stop | Instances were vehicle motion pauses | 4 |
| Suspicious Behavior | Unarmed humans behaving in threatening manner | 5 |
| Ambush | Group of humans that remained concealed until vehicle was near; no engagement | 2 |
| Cut off | Blockage of nearest escape or of main route | 2 |
| Armed Human | Humans visibly carrying weapons | 17 |
| Unarmed Human I | Unarmed humans considered threats | 13 |
| Unarmed Human II | Unarmed humans not considered threats | ~10-20 |



• Display Concepts and Configurations



Sensor Display Portal

- 64° x 48° Field of View (FOV)
- No continuous pan/zoom capabilities
- 6 selectable views (1 per simulated camera)
- Views controlled by mouse clicks on an embedded graphic (top right corner)



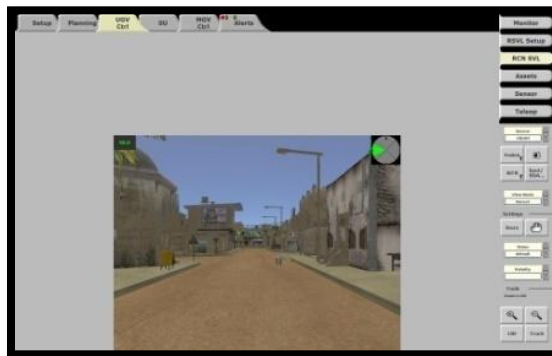
Banner

- 180° Horizontal Field of View (hFOV)
- No pan/zoom capabilities
- Single fixed view: either front or rear 180°
- Single view represents a “stitched” composition of three cameras



• Display Concepts and Configurations

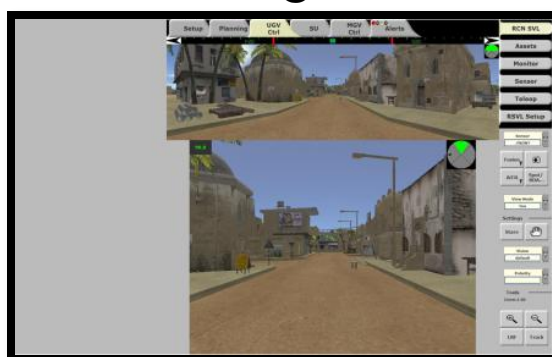
A



B



C



D



1024 x 768 Sensor
No Banner

1024 x 768 Sensor
1728 x 369 Banner

1024 x 768 Sensor
1248 x 369 Banner

512 x 384 Sensor
1728 x 369 Banner

- 1 front 180
- 1 rear 180
- Rear 180 was Lt-Rt reversed



• Simulation Environment

• WMI

- Sensor Displays
- Sensor Controls
- SPOT Report

• Image Generation

- Entity / Terrain Rendering

• ISBS

- Route Conformance

• Scenario Populator

- Dismount Mobility
- Env. Vehicle Mobility
- Crowd Behaviors
- Static Entities

• Event Server

- Trip Line Activation
- Play Audio Files
- Prompt Scenario Populator

• ESS

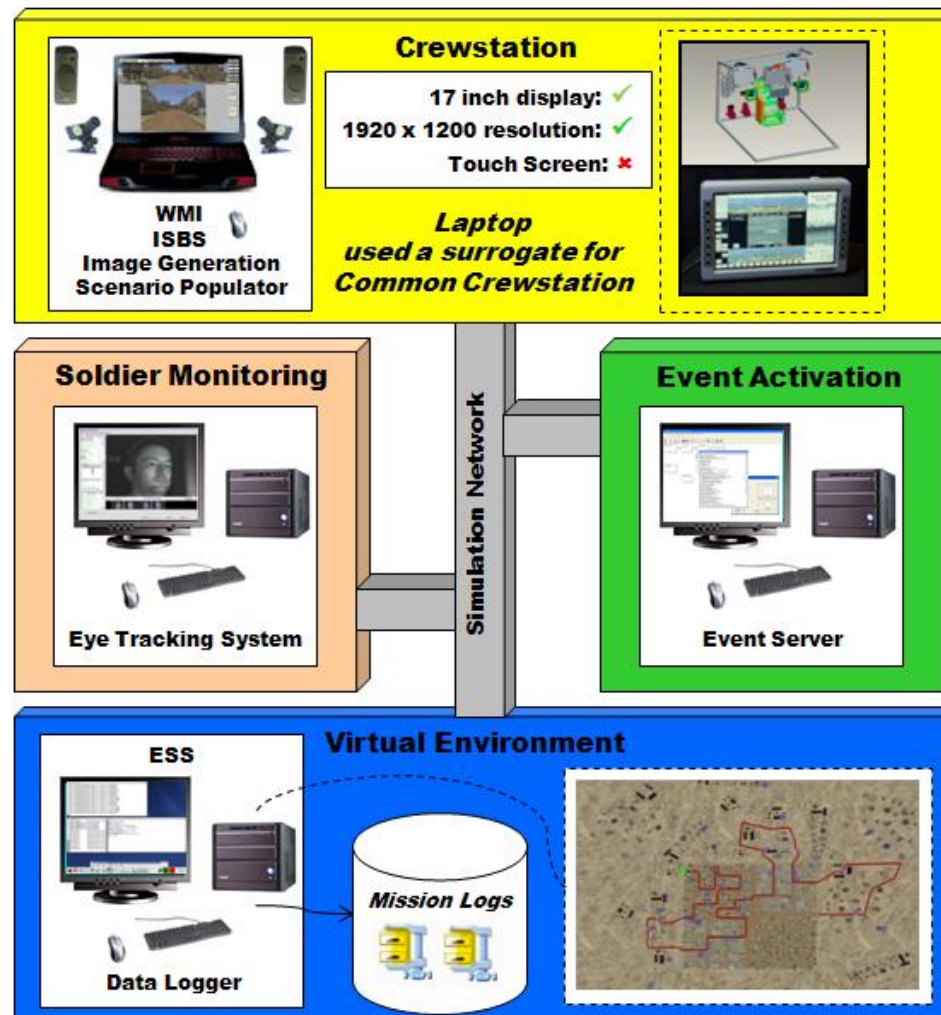
- Vehicle Mobility Model
- Sensor Model
- LOS Checks

• Data Logger

- Log File Creation
- DIS Recorder
- Entity Monitoring

• Data Extraction

- Data Archive Generation



• Data Reduction and Analysis

- All measures calculated from a reduced, collated, and time-synchronized set of variables extracted from the raw data set.

- All data processing and synchronization were handled by a custom written program called the Data Analysis and Reduction Tool.

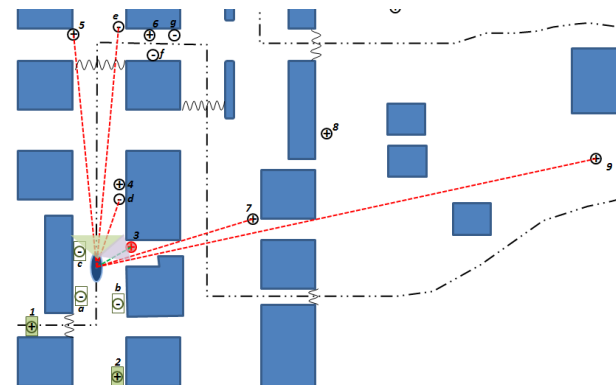
- Beginning with merging all data logs into a single binary format, all events and entity characteristics were codified and subsequently collated using a common time-stamp.

- Using the collated and merged data, custom algorithms were created to assess each SA report against the target LOS events.
 - For cases in which the LOS tool failed to provide accurate target information, manual corrections were applied.

Objective measures: Data for missions (1-68)

| Name | Size | Type | Date Modified |
|----------------------------|----------|----------------------------|--------------------|
| IMOPATX01.M01.P01.S1.C2.R1 | 9,497 KB | Compressed (zipped) Folder | 11/9/2009 12:42 PM |
| IMOPATX01.M02.P01.S2.C3.R2 | 6,280 KB | Compressed (zipped) Folder | 11/9/2009 1:55 PM |
| IMOPATX01.M03.P01.S3.C1.R3 | 7,424 KB | Compressed (zipped) Folder | 11/9/2009 2:03 PM |

| Name | Type | Size | Date |
|------------------|--|-----------|--------------------|
| ESPDURRecord | BIN File | 10,675 KB | 11/9/2009 11:44 AM |
| CrewStationLog | Microsoft Office Excel Comma Separated Values File | 9 KB | 11/9/2009 11:44 AM |
| SensorViewBLog | Microsoft Office Excel Comma Separated Values File | 748 KB | 11/9/2009 2:35 PM |
| SensorViewCLog | Microsoft Office Excel Comma Separated Values File | 433 KB | 11/9/2009 2:34 PM |
| SensorViewFLog | Microsoft Office Excel Comma Separated Values File | 147 KB | 11/9/2009 2:36 PM |
| SensorViewFRLog | Microsoft Office Excel Comma Separated Values File | 158 KB | 11/9/2009 2:28 PM |
| SensorViewRLog | Microsoft Office Excel Comma Separated Values File | 483 KB | 11/9/2009 2:31 PM |
| SensorViewRRLog | Microsoft Office Excel Comma Separated Values File | 224 KB | 11/9/2009 2:34 PM |
| SensorViewTBLog | Microsoft Office Excel Comma Separated Values File | 184 KB | 11/9/2009 2:27 PM |
| SensorViewTLog | Microsoft Office Excel Comma Separated Values File | 693 KB | 11/9/2009 2:35 PM |
| SmartEyeDataLog | Microsoft Office Excel Comma Separated Values File | 35,124 KB | 11/9/2009 11:44 AM |
| TriplineLog | Microsoft Office Excel Comma Separated Values File | 1 KB | 11/9/2009 11:44 AM |
| VehicleStatusLog | Microsoft Office Excel Comma Separated Values File | 12,676 KB | 11/9/2009 11:44 AM |



• Data Reduction and Analysis

– Task Performance Measures

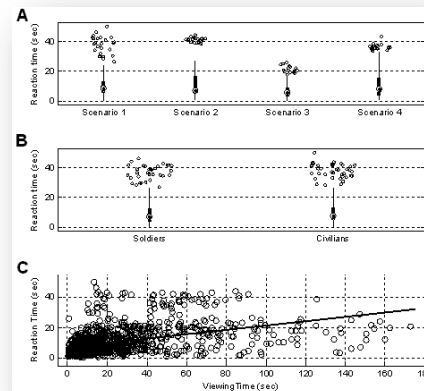
- Threat detection rate
- Response time
- Report accuracy
- Sensor portal usage

– Physiological Measures

- Gaze point tracking
- Nearest-neighbor index

– Subjective Questionnaire Responses

- NASA Task Load Index (NASA-TLX)
- Interface usability
- Exit interview



| Parameter | Wald χ^2 | df | p |
|---|---------------|----|-------|
| Condition(participant) | 20.085 | 18 | 0.328 |
| Target type(participant) | 70.785 | 13 | 0.000 |
| Location(participant) | 256.243 | 8 | 0.000 |
| Vehicle Mobility(participant) | 46.232 | 17 | 0.000 |
| Target Mobility(participant) | 66.631 | 14 | 0.000 |
| Min range after onset (participant) | 36.551 | 17 | 0.004 |
| Inter-threat interval (participant) | 37.389 | 17 | 0.003 |
| Viewing Time(participant) | 102.391 | 17 | 0.000 |
| Condition x location(participant) | 1206.501 | 46 | 0.000 |
| Target type x location(participant) | 100.095 | 15 | 0.000 |
| Target type x min range(participant) | 51.764 | 34 | 0.026 |
| Location x target mobility(participant) | 71.540 | 14 | 0.000 |
| Location x viewing time(participant) | 85.474 | 17 | 0.000 |

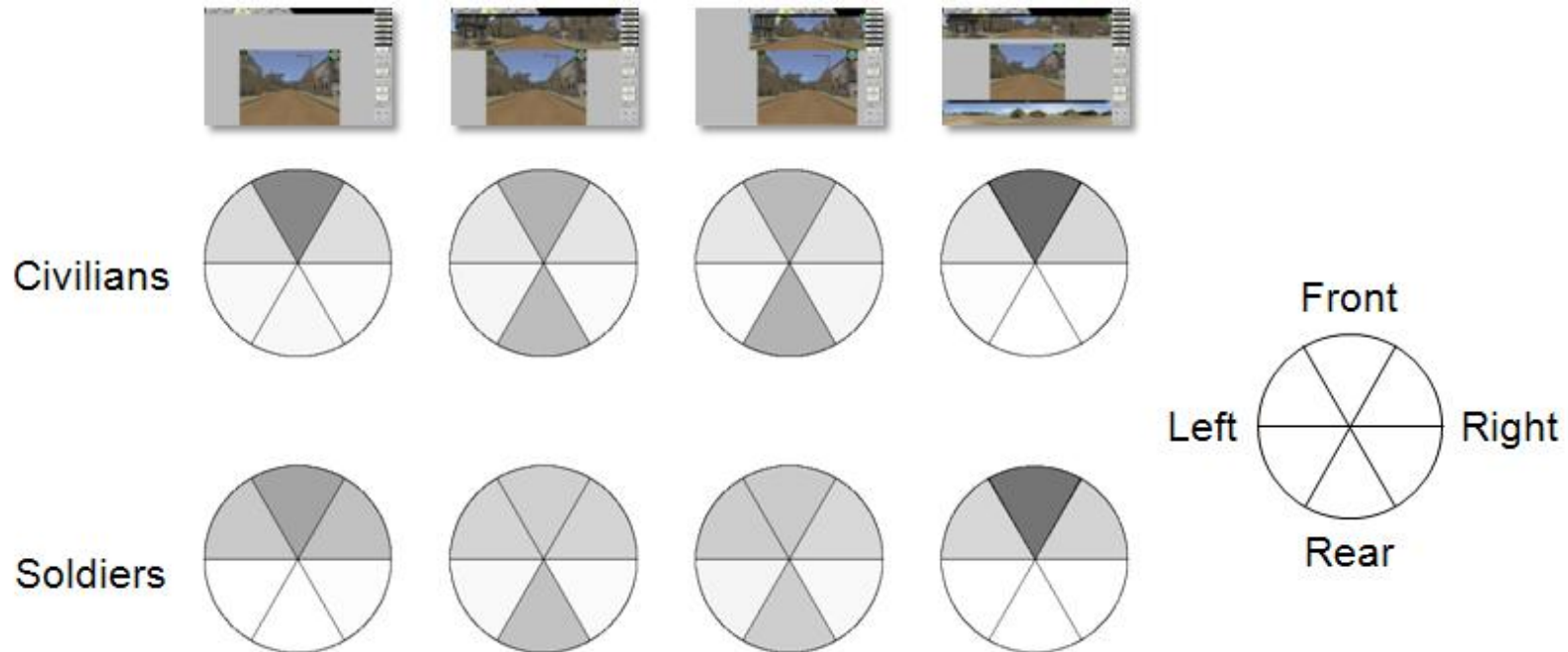
| Parameter | df _{res} | F(df _{res} , 2499) | p |
|--|-------------------|-----------------------------|--------|
| Scenario | 3 | 2.0495 | 0.1049 |
| Participant type | 12 | 5.8368 | 0.0000 |
| Condition | 24 | 7.6205 | 0.0000 |
| Target type | 18 | 5.8685 | 0.0000 |
| Location | 11 | 10.3761 | 0.0000 |
| Vehicle Mobility | 10 | 3.0226 | 0.0008 |
| Target Mobility | 8 | 1.5188 | 0.1453 |
| Min range after onset | 9 | 45.4816 | 0.0000 |
| Inter-threat Interval | 7 | 3.7049 | 0.0005 |
| Viewing Time | 8 | 39.8973 | 0.0000 |
| Participant type x condition | 3 | 2.2665 | 0.0788 |
| Participant type x target type | 2 | 2.9305 | 0.0536 |
| Participant type x location | 1 | 1.5531 | 0.2128 |
| Participant type x vehicle mobility | 1 | 0.0003 | 0.9855 |
| Participant type x target mobility | 1 | 0.0004 | 0.9843 |
| Participant type x min range | 1 | 0.2169 | 0.6414 |
| Participant type x inter threat interval | 1 | 0.0692 | 0.7925 |
| Participant type x viewing time | 1 | 0.0770 | 0.7814 |
| Condition x location | 3 | 8.8535 | 0.0000 |
| Condition x vehicle mobility | 3 | 0.3976 | 0.7548 |
| Condition x target mobility | 3 | 2.0875 | 0.0998 |
| Condition x min range | 3 | 1.9371 | 0.1214 |
| Condition x inter-threat interval | 3 | 0.4231 | 0.7364 |
| Condition x viewing time | 3 | 6.3462 | 0.0003 |
| Target type x location | 2 | 14.6222 | 0.0000 |
| Target type x vehicle mobility | 2 | 3.1169 | 0.0445 |
| Target type x target mobility | 2 | 0.4936 | 0.6105 |
| Target type x min range | 2 | 12.9712 | 0.0000 |
| Target type x onset delta | 2 | 0.8896 | 0.4109 |
| Target type x viewing time | 2 | 2.3579 | 0.0948 |
| Location x vehicle mobility | 1 | 7.4223 | 0.0065 |
| Location x target mobility | 1 | 32.6323 | 0.0000 |
| Location x min range | 1 | 1.7350 | 0.1879 |
| Location x viewing time | 1 | 30.2822 | 0.0000 |
| Vehicle mobility x target mobility | 1 | 0.0000 | 1.0000 |
| Vehicle mobility x min range | 1 | 4.7783 | 0.0289 |

– Data Analysis and Statistical Processing were handled separately

- Analysis methods depended on nature of variable being assessed
- Primary methods: logistic regression and linear mixed-model regression



- Active Scanning

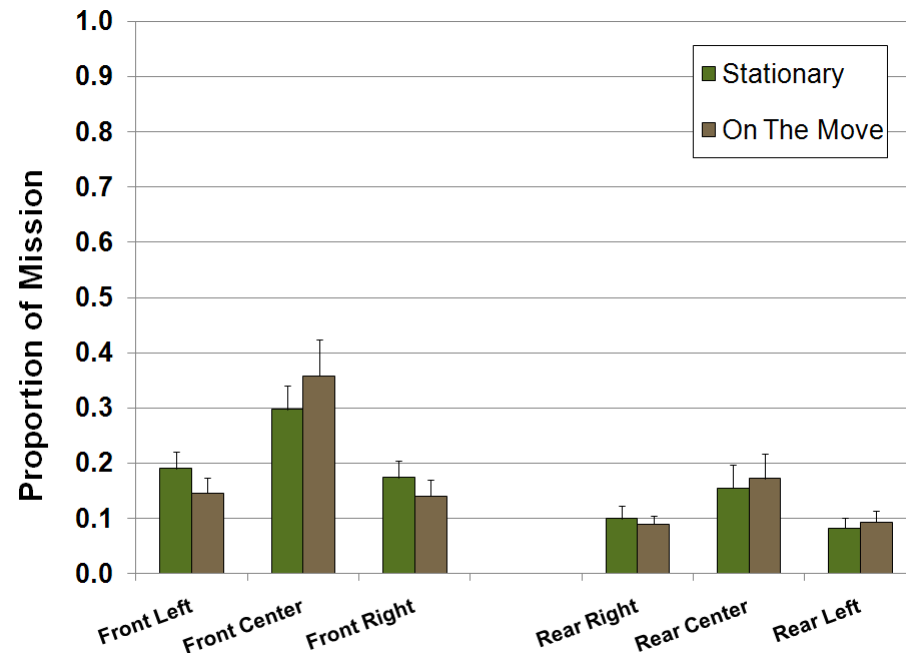
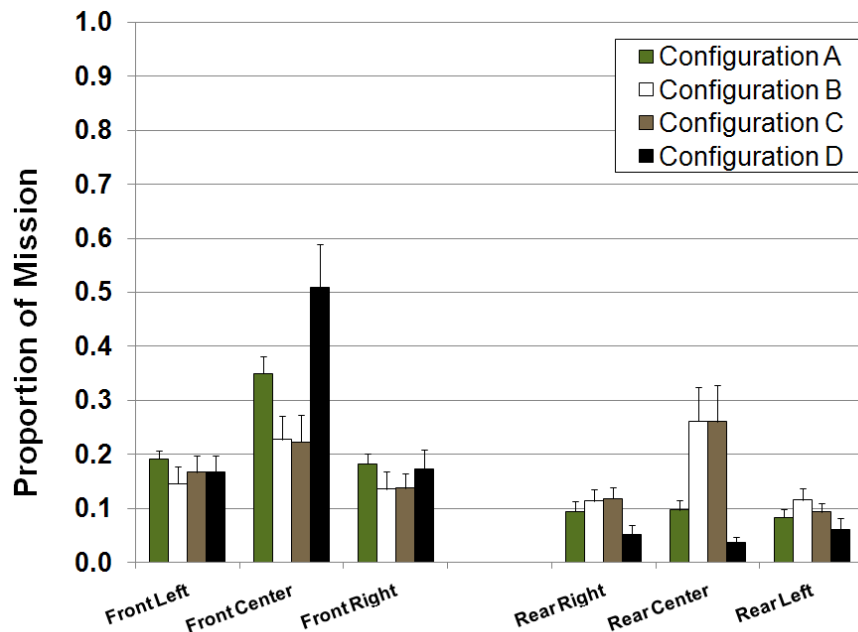


Qualitatively, all participants (Soldiers and civilians) tended to disproportionately orient on the forward view, with generalized a bias towards orienting on the central position.

Tendency was observed less strongly when using configurations B and C



• Active Scanning



Statistically, this pattern of results appeared as two significant interactions:

- Sensor View \times Display Configuration ($F_{15, 815} = 10.09, p < 0.001$)
 - Validates qualitative observations from last slide
- Sensor View \times Vehicle Mobility ($F_{5, 815} = 10.33, p < 0.001$)
 - Indicates that front-center bias grew stronger when vehicle was moving



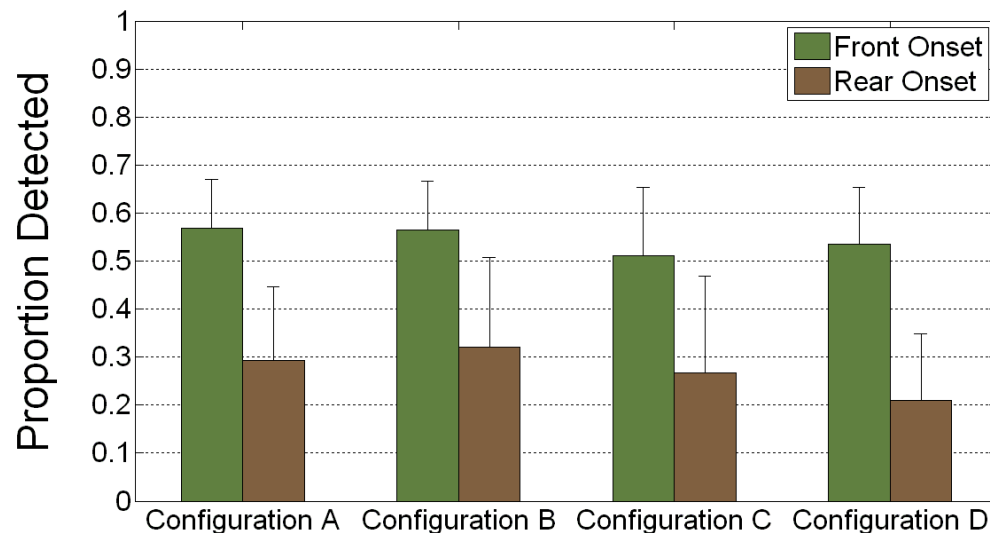
- Active Scanning

| Configuration | View Changes (changes per mission) | View Change Rate (changes per minute) |
|---------------|---------------------------------------|--|
| A | 381.5 | 28.2 |
| B | 171.4 | 12.6 |
| C | 189.2 | 13.8 |
| D | 131.0 | 9.6 |

Participants had to perform nearly twice as much physical work when using Configuration A

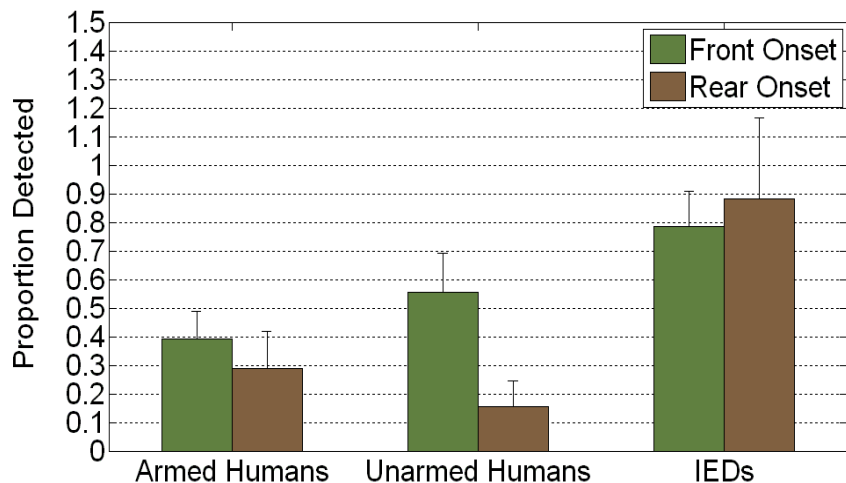
- Scanning → Threat Detection (?)

A significant Configuration × Location interaction ($F_{3, 2538} = 12.99, p < 0.001$) points to a possible, though not dramatic, advantage for Configuration B



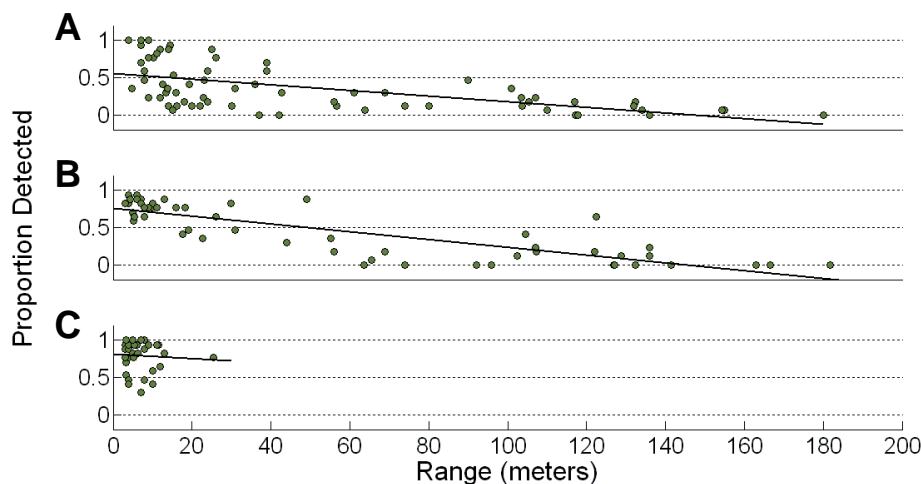


Threat Detection Performance



Target Type x Range interaction

- Wald $\chi^2_{34} = 51.76, p < 0.03$
- Indicates different slope across Range for each target type
- May reflect differences between Armed and Unarmed Humans at short ranges (< 25 m)



A) Armed Humans; B) Unarmed Humans; C) IEDs

Target Type x Location interaction

- Wald $\chi^2_{15} = 100.10, p < 0.001$
- IEDs were always detected well
- Detection rates were below 50% for armed humans
- Detection rate for unarmed humans was higher when presented in the front

Points to a strong influence of perceptual factors on threat detection



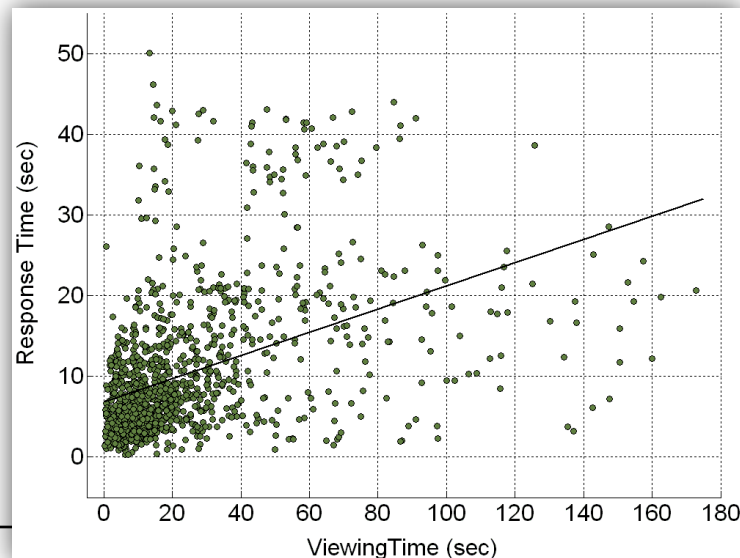
- Response Time vs. Report Accuracy

Location x Range Interaction
($F_{2,1213} = 11.878, p < 0.001$)

| Location | < 50m | 50 – 100m | > 100 m |
|----------|-------------|--------------|--------------|
| Front | 5.99 (0.15) | 14.67 (0.58) | 20.43 (0.67) |
| Rear | 5.79 (0.38) | 7.17 (2.01) | 9.43 (1.85) |

Vehicle Mobility x Range Interaction
($F_{2,1213} = 5.21, p < 0.01$)

| Vehicle Mobility | < 50m | 50 – 100m | > 100 m |
|------------------|-----------|------------|------------|
| Stationary | 4.05(.46) | 7.65(.89) | 8.16(.50) |
| Moving | 6.10(.26) | 14.41(.42) | 21.41(.48) |



A general response pattern was indicated wherein participants appeared to scale their response timing to how much viewing time was available



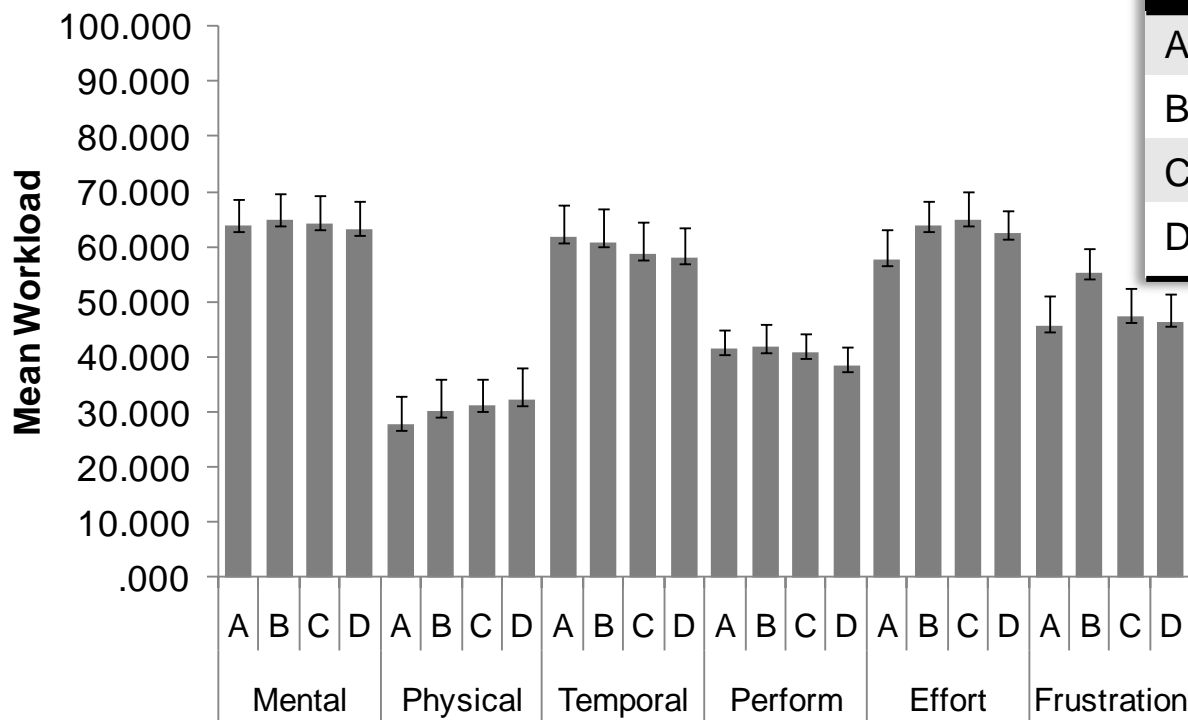
- Response Time vs. Report Accuracy

| Target Range | Armed Humans | Unarmed Humans | IEDS |
|--------------|--------------|----------------|-------------|
| < 50m | 88.0 (1.61) | 88.7 (2.63) | 85.4 (1.51) |
| 50-100 m | 72.9 (2.69) | 85.1 (2.90) | 87.4 (2.86) |
| > 100 m | 59.2 (3.26) | 85.5 (5.58) | 94.4 (4.31) |

Accuracy values were fairly high, and only showed marked declines for Armed Human threats presented at greater distances from the vehicle (where Armed Humans were more easily confused for Unarmed Humans)



- Subjective Questionnaires: Workload



| Configuration | Overall Workload |
|---------------|------------------|
| A | 56.666 (3.83) |
| B | 60.000 (3.07) |
| C | 59.509 (3.54) |
| D | 57.196 (3.22) |

Subject workload assessments were insensitive to variation due to Display Configuration; Average rating indicated that the task was not overwhelming



• Subjective Questionnaires: Usability and Exit Interviews

- Participants ranked the configurations in order of preferred use, with 1 being the most preferred and 4 being the least.
- The resulting rank order was:

Configuration D (1.59), Configuration B (1.65), Configuration C (2.88), Configuration A (3.88).

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | % All | % Sld |
|-----------------------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|-------|-------|
| Banner preferred | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | 100 | 100 |
| Wants zoom | | x | | x | x | | | | x | | x | x | | | x | | x | 47.1 | 71.4 |
| SA report problem | x | | | x | | x | x | x | | | | | | | x | x | x | 47.1 | 42.9 |
| Sensor control problem | x | | x | | | | | x | x | | | | | x | | | | 29.4 | 28.6 |
| Wants continuous panning | | x | | | | | | | | x | | x | | | | x | x | 29.4 | 14.3 |
| Sensor aimed at rear | | | x | | | x | | x | | | x | | | | x | | | 29.4 | 14.3 |
| Wants interactive targeting | | | | | | x | x | x | | | | | | x | | | | 23.5 | 14.3 |
| Overwhelmed by information | | | | | | x | | | | | x | | x | | | x | x | 29.4 | 14.3 |

All participants preferred a banner solution and a majority wanted a zoom capability. Few participants felt a need for improvements to augment looks to the rear of the vehicle and few felt overwhelmed by the task.

- The most striking observation: emergence of a front-center bias, discussed elsewhere as “the keyhole effect” or cognitive tunneling.
 - Despite clear instructions that participants were responsible for scanning the full environment, they tended to focus on the central aspect of their forward view.
 - This trend appeared particularly strongly when using configuration A, which provided no alternatives for simultaneously viewing both the front and the rear.
- Although there was a clear difference in the use of the different display configurations, it was not manifest in a strong independent influence of display configuration on performance.
 - Evidence indicated a weak facilitation of performance that depended on whether front and rear-facing visuals could be viewed simultaneously
 - Not observed with configuration D, but there were additional cognitive factors potentially affecting the ability to use both front and rear-facing banners simultaneously
 - Otherwise, it seemed that the primary factors influencing performance were those associated with human perception and cognition.

- Some results indicated target salience as a factor and thus, future study and technology assessments involving explicit manipulations of salience seem warranted.
 - If salience is shown to be a critical factor in threat detection and identification performance, then additional mitigations possibly involving real-time image processing may be required for enhancement of LAA in operational contexts.
- Results also pointed towards a need to assist the Soldiers in ways that offset their natural cognitive and perceptual tendencies.
 - Technical solutions, such as better optics or implementation of zoom may suffice to account for the range-based detriment
 - However, accounting for the “tunneling” bias may require additional types of technologies
 - e.g.: intelligent systems that detect, in real time, where the Soldiers are looking and then cueing examination of neglected areas of the operational environment.

Conclusions

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- Manipulations of display configurations may be able to mitigate the impact of natural cognitive and perceptual tendencies of the participants; however, the mitigation potential seems to be far from complete compared with the range of performance variation due to human factors issues.
- Ultimately, to be useful, enhancement of SA should take the form of displays and systems that provide synthesized information rather than simply additional raw data for the end-user (i.e. Warfighter) to parse and integrate themselves.
- To provide useful informational displays requires detailed study of the impact of cognitive and perceptual constraints on the expected objective performance goals with the technologies being developed.

***Thank you for your time
and attention***



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